

## Note

# Tseitin's formulas revisited

Jean-Denis Fouks

*Université de Haute Alsace, Labo Math-info, 4 Rue des Frères Lumière, 68093 Mulhouse Cedex France*

Communicated by M. Nivat  
Received February 1991

### Abstract

Fouks, J.D., Tseitin's formulas revisited, *Theoretical Computer Science* 99 (1992) 315–326.

$G$  being a graph, we define its cyclomatic cohesion  $\gamma(G)$ . Then, using Tseitin's method (1970), we construct a contradictory formula  $C(G)$  and prove our main theorem: *Every resolution of  $C(G)$  contains, at least,  $2^{\gamma(G)}$  distinct clauses.* A similar result was obtained by Urquhart (1987) with a different method valid only for a specific family of graphs.

## 0. Introduction

Hard examples for regular resolution (i.e. resolution where an eliminated boolean may not appear again) were proved first by Tseitin [10], who has introduced a method associating a formula with a graph.

The intractibility of general resolution was obtained first by Haken [7]. With a similar method, Urquhart has shown a specific family of Tseitin's formulas to be hard. A probabilistic result is also given by Chvátal and Szemerédi [2].

But for a general graph, the complexity of resolution on the corresponding Tseitin's formula was still unknown. We link it with a cyclomatic property of the graph: the cyclomatic cohesion.

### 0.1. Definitions and notations

We consider a finite set  $\underline{B}$  of "booleans".  $\forall \underline{b} \in \underline{B}$ ,  $(\underline{b}, +)$  ( $(\underline{b}, -)$ ) is called the *positive* (*negative*) *literal of support  $\underline{b}$*  and is denoted by  $\underline{b}$  ( $\underline{b}'$ ). A literal, positive or negative, is denoted by  $l$ , and the opposite one by  $l'$ .

A finite set of literals whose supports are distinct from one another is called a *clause*. We shall identify a clause with a partial map from  $\underline{B}$  to  $\{+, -\}$ . For instance, the clause  $\{1, 2', 3\}$  represents the map  $1 \mapsto +, 2 \mapsto -, 3 \mapsto +$ . The empty clause is denoted by  $\Lambda$ .

Let  $c$  be a clause; the product of the signs of its literals is called the *signature* of  $c$  and is denoted by  $\text{sgn}(c)$ . By convention,  $\text{sgn}(\Lambda) = +$ . The definition set of  $c$  is called the *support* of  $c$  and is denoted by  $\underline{c}$ . The set of literals  $\{l, l' \in c\}$  is a clause denoted by  $c'$ . For instance, if  $c = \{1, 2', 3\}$ , then  $\text{sgn}(c) = -$ ,  $\underline{c} = [1, 2, 3]$  and  $c' = \{1', 2, 3'\}$ .

A finite set of clauses is called a *formula*.

A map from  $\underline{B}$  to  $\{+, -\}$  is called a *valuation* ( $+$  may be seen as "true" and  $-$  as "false"). A valuation is identified with a clause of support  $\underline{B}$ .

A clause  $c$  is said to be *satisfied* by a valuation  $v$  if  $c' \not\subseteq v$ . A valuation  $v$  is said to be a *solution* of a formula  $C$  if,  $\forall c \in C$ ,  $c$  is satisfied by  $v$ . The set of solutions of  $C$  is denoted by  $\text{sol}(C)$ ;  $C$  is said to be *contradictory* if  $\text{sol}(C) = \emptyset$  and satisfiable otherwise.

If  $|c_1 \cap c_2| = 1$ , then the clause  $(c_1 - c'_2) \cup (c_2 - c'_1)$  is called the *resolvent* of  $c_1$  and  $c_2$ . It is said to be obtained by *annihilating the support* of  $c_1 \cap c_2$  and is denoted  $c_1 \sqcap c_2$ .

For instance,  $\{1, 2', 3\} \sqcap \{2, 3, 4\} = \{1, 3, 4\}$  and  $\{1\} \sqcap \{1'\} = \Lambda$ , but  $\{1, 2', 3\}$  and  $\{2, 3', 4\}$  do not define a resolvent.

Let  $C$  be a formula and  $(R, E)$  be a binary tree with root  $\text{ra}$ . If  $\varphi$  is a map from  $R$  to the set of clauses on  $\underline{B}$  such that

- if  $r$  is a leaf then  $\varphi(r)$  belongs to  $C$ ,
  - if  $r$  has two sons  $r_1$  and  $r_2$  then  $\varphi(r)$  is the resolvent of  $\varphi(r_1)$  and  $\varphi(r_2)$ ,
- then  $(R, E, \varphi)$  is said to be a *resolution* of  $C$  proving  $\varphi(\text{ra})$ .

An example is shown in Fig. 1.

By Robinson's theorem [9],  $C$  is contradictory if and only if there exists a resolution of  $C$  proving  $\Lambda$ .

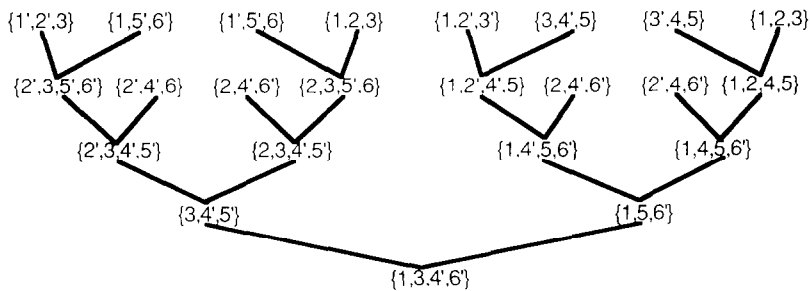


Fig. 1.

## 1. Resolution guide

### 1.1. Definitions

Let  $c$  be a clause on  $\underline{B}$  and  $(S, A)$  a binary tree of height  $|\underline{B} \setminus \underline{c}|$  with  $2^{|\underline{B} \setminus \underline{c}|}$  leaves;  $c$  being identified with the root of  $(S, A)$ , let  $\alpha$  be a map from  $A$  to the set of literals on  $\underline{B} \setminus \underline{c}$  such that

- $\forall a_1, a_2 \in A$ , if  $a_1$  and  $a_2$  are in a same path of  $(S, A)$ , then  $\alpha(a_1) \neq \alpha(a_2)$ ;
- $\forall a_1, a_2 \in A$ , if  $a_1$  and  $a_2$  have the same starting point, then  $\alpha(a_1) = \alpha(a_2)'$ .

Under these conditions,  $(S, A, \alpha)$  is called a *resolution guide with goal  $c$  on  $\underline{B}$* . By convention, if  $\alpha(a)$  is a positive (negative) literal,  $a$  is said to be a left (right) edge. This convention will be applied to all figures.

For all  $s \in S$ , there exists a unique path  $(s_1, \dots, s_k)$  in  $(S, A)$  from  $s_1 = sa$  to  $s_k = s$ . The clause  $c \cup \alpha\{(s_1, s_2), \dots, (s_{k-1}, s_k)\}$  is called the *clause given by  $s$*  and is denoted by  $c(s)$ . In particular,  $c(sa) = c$ .

Let  $d$  be a clause and  $s$  a vertex of  $(S, A)$ . We say that  $d$  *eliminates (preserves)  $s$*  if  $d'$  is included (not included) in  $c(s)$ .

**Lemma 1.1** (Resolution guide lemma). *Let  $(R, E, \varphi)$  be a resolution of a formula  $C$  proving a clause  $c$ . We can construct a part  $\Sigma = (S, A, \alpha)$  of a resolution guide with goal  $c'$  canonically associated with  $(R, E, \varphi)$ . It is called the *skeleton of  $(R, E, \varphi)$* .*

**Proof.** Initially,  $S = \{sa\}$ ,  $c(sa) = c'$  and an injective map  $\psi$  from  $S$  to  $R$  is defined by  $\psi(sa) = ra$ .

By hypothesis, during the construction,  $s$ ,  $c(s)$  and  $r = \psi(s)$  are defined and are such that  $\varphi(r) \subset c(s)'$ .

Only three cases are possible:

*Case 1:* The clause  $\varphi(\psi(s))$  is generated by annihilating  $\underline{b}$  and  $\underline{b} \notin c(s)$ . Then, we can construct two sons  $rss$  and  $lss$  of  $s$  and let  $\alpha((s, rss)) = b'$  and  $\alpha((s, lss)) = b$ , so that  $c(rss) = c(s) \cup \{b'\}$  and  $c(lss) = c(s) \cup \{b\}$ .

By the convention on the sons  $rsr$  and  $lsr$  of  $r$ ,  $b \in \varphi(rsr)$  and  $b' \in \varphi(lsr)$ . So  $\varphi(rsr) \subset \varphi(r) \cup \{b\} \subset c(rss)'$  ( $\varphi(lsr) \subset c(lss)'$ ) and we can let  $\psi(rss) = rsr$  and  $\psi(lss) = lsr$ .

*Case 2:* The clause  $\varphi(\psi(s))$  is generated by annihilating  $\underline{b}$  and  $\underline{b} \in c(s)$ . Let us suppose that  $b \in c(s)$ . We obtain  $\varphi(lsr) \subset \varphi(r) \cup \{b'\} \subset c(s)' \cup \{b'\} = c(s)'$ ; in that case, we modify  $\psi$  and let  $\psi(s) = lsr$ . If  $b' \in c(s)$ , we let  $\psi(s) = rsr$ .

*Case 3:* The clause  $\varphi(\psi(s))$  belongs to  $C$ .

In cases 1 and 2, the construction goes on recursively. In the third case, it comes to an end. As  $(R, E, \varphi)$  is finite, the algorithm will stop.  $\square$

The two conditions on  $\alpha$ , given above, are respected. So, the skeleton of  $(R, E, \varphi)$  is part of at least one resolution guide with goal  $c'$ . Note that every leaf  $l$  of  $\Sigma$  is eliminated by the clause  $\varphi(\psi(l))$ .

For instance, the skeleton of resolution in Fig. 1 is shown in Fig. 2.

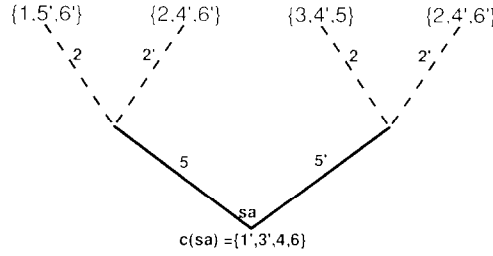


Fig. 2. The clause indicated above a leaf  $l$  is  $\varphi(\psi(l))$ .

**Lemma 1.2** (Autumn lemma). *Let  $c$  be a clause on  $\underline{B}$ ,  $C$  a formula and let  $\Gamma$  be a resolution guide with goal  $c'$ . If there exists a resolution  $(R, E, \varphi)$  of  $C$  proving  $c$ , then every leaf of  $\Gamma$  is eliminated by at least one clause in  $C$ .*

**Proof.** (i) Let  $\Gamma$  contain the skeleton  $\Sigma$ . Every leaf  $l$  of  $\Gamma$  is a descendant of some leaf  $l_0$  of  $\Sigma$  and, so  $c(l)$  contains  $c(l_0)$ . By the preceding proof,  $\exists d \in C, d' \subset c(l_0)$ , so that  $d' \subset c(l)$  and  $l$  is eliminated by  $d$ .

(ii) Let  $\Gamma$  be any resolution guide with goal  $c'$  and let  $L$  be the set of leaves in  $\Gamma$ .  $\{c(l), l \in L\}$  is the set of valuations containing  $c'$  and so does not depend on  $\Gamma$ . Point (i) shows us that,  $\forall l \in L, \exists d \in C, d' \subset c(l)$  and  $l$  is eliminated.

## 2. Formula defined by a graph

### 2.1. Alternated graphs

The graphs we shall consider have a finite set  $X$  of “vertices” and a family  $U = \{u_i\}_{i \in I}$  of “edges” which are unordered pairs  $(x, y)$  of vertices.

$\forall x \in X$ , the set of edges (loops) containing  $x$  is called the *boundary* (*interior boundary*) of  $x$  and is denoted by  $f_G(x)$  ( $fi_G(x)$ );  $f_G(x) - fi_G(x)$  is called the *exterior boundary* of  $x$  and is denoted by  $fe_G(x)$ . If  $G$  is obvious, we write  $f(x)$ ,  $fi(x)$  and  $fe(x)$ .

The set of connected components of  $G$  is denoted by  $co(G)$ , and the one containing a given vertex  $x$  is denoted by  $co(x, G)$ .

$G = (X, U, \sigma)$  is called an *alternated graph* on  $\underline{B}$  if

- $(X, U)$  is a graph where  $\underline{B}$  is the set of indices of  $U$ ,
- $\sigma$  is a map from  $X$  to  $\{+, -\}$ , called the *sign*.

In graphic representations, vertices of sign  $+$  ( $-$ ) will be marked by  $\circ$  ( $\bullet$ ). An edge  $u_b$  will be frequently identified with its index  $b$ .

Let  $H = (Y, V)$  be a subgraph of  $G$ . The product  $\prod_{y \in Y} \sigma(y)$  is called the *sign* of  $H$  and is denoted by  $\sigma(H)$ ;  $H$  is said to be *even* if  $\sigma(H) = +$ , and *odd* otherwise.

Let  $G = (X, U, \sigma)$  be an alternated graph and  $x$  a vertex of  $G$ . The set of clauses  $\{c = d_1 \cup d_2, d_1 = fe(x) \text{ and } \text{sgn}(d_1) = -\sigma(x), d_2 = fi(x)\}$  is said to be *associated with*  $x$  and is denoted by  $G(x)$ .

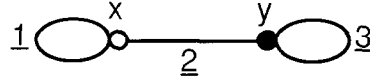


Fig. 3.

**Example.** Let  $G$  be the graph shown in Fig. 3.

The set  $G(x)$  is  $\{\{1, 2'\}, \{1', 2'\}\}$  and the set  $G(y)$  is  $\{\{2, 3\}, \{2, 3'\}\}$ .

Let  $G$  be an alternated graph on  $\underline{B}$ . The set  $\bigcup_{x \in X} G(x)$  is called the *formula defined* by  $G$  and is denoted by  $C(G)$ .

## 2.2. Transformations of graphs

Let  $l$  be a literal on  $\underline{B}$ , the map  $\sigma \setminus l$  from  $X$  to  $\{+, -\}$  is defined as follows:

- If  $l$  is positive or if  $\underline{l} = l$ , then  $\sigma \setminus l = \sigma$ .
- If  $l$  is negative and if  $\underline{l} = (x, y)(x \neq y)$ , then

$$(\sigma \setminus l)(x) = -\sigma(x), \quad (\sigma \setminus l)(y) = -\sigma(y) \quad \text{and} \quad \forall z \notin (x, y), (\sigma \setminus l)(z) = \sigma(z).$$

The alternated graph  $(X, U \setminus \{\underline{l}\}, \sigma \setminus l)$  is said to be obtained by *deletion* of  $\underline{l}$  in  $G$  and is denoted by  $G \setminus \underline{l}$ .

As,  $\forall l_1, l_2, (\sigma \setminus l_1) \setminus l_2 = (\sigma \setminus l_2) \setminus l_1$ , we can naturally define  $\sigma \setminus c$  for each clause  $c$  on  $\underline{B}$ . The alternated graph  $(X, U \setminus \underline{c}, \sigma \setminus c)$  is said to be obtained by *deletion* of  $c$  in  $G$  and is denoted by  $G \setminus \underline{c}$ . The graph  $(X, U \setminus \underline{c})$  is denoted by  $G \setminus \underline{c}$ .

Let  $c$  be a clause on  $\underline{B}$  and  $V$  be the subset of  $U$  indexed by  $\underline{c}$ . For each edge  $v_h = (x, y)_h \in V$ , let  $\bar{v}_h = (\text{co}(x, G \setminus \underline{c}), \text{co}(y, G \setminus \underline{c}))_j$  and  $\bar{V}$  be the family  $\{\bar{v}_h\}_{h \in V}$ . We define a sign  $\sigma/c$  on  $\text{co}(G \setminus \underline{c})$  as follows:

$$\forall x \in X, \quad (\sigma/c)(\text{co}(x, G \setminus \underline{c})) = \prod_{y \in \text{co}(x, G \setminus \underline{c})} (\sigma \setminus c)(y).$$

The alternated graph  $(\text{co}(G \setminus \underline{c}), \bar{V}, \sigma/c)$  is called the *quotient* of  $G$  by  $G \setminus \underline{c}$  and is denoted by  $G/c$ . The graph  $(\text{co}(G \setminus \underline{c}), V)$  is denoted by  $G/\underline{c}$ .

**Example.** Let  $G$  be given by Fig. 4 and  $c = \{4, 11, 12, 14', 19, 22, 31\}$ .

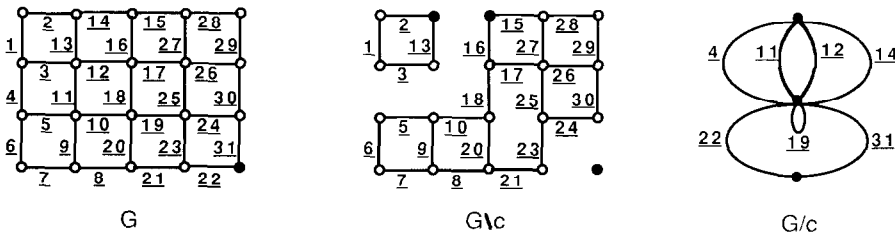


Fig. 4.

### 2.3. Cyclomatic notions

An edge  $u$  is called a cycle edge if  $|\text{co}(G \setminus \{u\})| = |\text{co}(G)|$ , and an isthmus otherwise.

The number  $v(G) = |U| - |X| + |\text{co}(G)|$  is called the *cyclomatic number* of  $G$ . For every graph  $G$ ,  $v(G)$  is a nonnegative integer (see [1]).

For  $x \in X$ ,  $v(G/f(x))$  is called the *cyclomatic degree* of  $x$  in  $G$  and is denoted by  $\delta_G(x)$  or  $\delta(x)$ , if  $G$  is obvious.

The number  $\delta(G) = \max\{\delta(x), x \in X\}$  is called the *cyclomatic degree* of  $G$ .

Let  $\omega$  be a permutation of  $\underline{B}$ . The sequence  $G_0 = \text{co}(G)$ ,  $G_1 = G/\{\omega(\underline{1})\}$ , ...,  $G_i = G/\omega[1, \dots, i]$ , ...,  $G_{|\underline{U}|} = G$ , is called the *sequence according to  $\omega$  of the quotients of  $G$* .

The number  $\gamma(\omega) = \max\{\delta(G_i), i \in \underline{B}\}$  is called the *cyclomatic cohesion* of  $\omega$ . As  $G_{|\underline{U}|} = G$ , we have  $\delta(G) \leq \gamma(\omega)$  for every permutation  $\omega$  of  $\underline{B}$ .

The number  $\gamma(G) = \min\{\gamma(\omega), \omega \text{ describing the set of permutations of } \underline{B}\}$  is called the *cyclomatic cohesion* of  $G$ . For every graph,  $\delta(G) \leq \gamma(G)$ .

**Theorem 2.1** (Satisfiability theorem). *If all the connected components of  $G$  are even then  $|\text{sol}(C(G))| = 2^{v(G)}$ . Otherwise,  $C(G)$  is contradictory. (See [5].)*

### 2.4. Towers and keeps

A vertex  $\text{co}(x, G \setminus \underline{c})$  in  $G \setminus \underline{c}$ , such that  $\delta(\text{co}(x, G \setminus \underline{c})) \geq \gamma(G)$ , is called a *tower* of  $G$  with respect to  $\underline{c}$ .

Let  $\omega$  be a permutation of  $\underline{B}$ . If there is one  $i \in \underline{B}$  such that  $\underline{c} = \omega[1, \dots, i]$ , then  $\omega$  is said to be an *extension* of  $\underline{c}$ , and a tower with respect to  $\underline{c}$  is called a tower of  $\omega$  with index  $i$ .

If, for any extension  $\omega$  of  $\underline{c}$ ,  $\text{co}(x, G \setminus \underline{c})$  contains a tower of  $\omega$ , then  $\text{co}(x, G \setminus \underline{c})$  is called a *rampart* of  $G$ .

If  $\text{co}(x, G \setminus \underline{c})$  is a tower and if,  $\forall \underline{d} \subset \underline{c}$ ,  $\text{co}(x, G \setminus \underline{d})$  is a rampart, then  $\text{co}(x, G \setminus \underline{c})$  is called a *keep* of  $G$ .

**Lemma 2.2** (The rampart lemma). *Let  $\text{co}(x, G \setminus \underline{c})$  be a rampart,  $\omega$  an extension of  $\underline{c}$  and  $k$  the least index for a tower of  $\omega$  contained in  $\text{co}(x, G \setminus \underline{c})$ .*

*Then,  $\forall i \in [\underline{c}], \dots, k]$ , at least one among the components of  $\text{co}(G/\omega[1, \dots, i])$  contained in  $\text{co}(x, G \setminus \underline{c})$  is a rampart.*

**Proof.** We note first that,  $\forall y \in X, \forall i, i', i' > i, \text{co}(y, G \setminus \omega[1, \dots, i']) \subset \text{co}(y, G \setminus \omega[1, \dots, i])$ . Let us now suppose that, for some  $i > |\underline{c}|$ , none of the components  $Y$  of  $G/\omega[1, \dots, i]$ , contained in  $Z = \text{co}(x, G \setminus \omega[1, \dots, i])$ , is a rampart.

For any  $Y$  contained in  $Z$ , there exists, by hypothesis, a permutation  $\omega_Y$  of its edges such that any extension of  $\omega[1, \dots, i]$  using  $\omega_Y$  would have no tower in  $Y$ .

As  $Z$  is a disjoint union of components  $Y$ , there exists an extension  $\omega'$  of  $\omega[1, \dots, i]$  using  $\{\omega_Y, Y \subseteq Z\}$  which has no tower contained in  $Z$ .

This leads us to a contradiction.  $\square$

**Theorem 2.3** (The keep theorem). *Let  $G=(X, U)$  be a connected graph and  $\omega$  a permutation of  $\underline{B}$ . Then there exists at least one tower of  $\omega$  which is a keep.*

**Proof.** (i) Let us first see that there is a sequence of ramparts, the last one being a tower.

For all  $x \in X$ ,  $\text{co}(x, G \setminus \emptyset) = G$  is clearly a rampart.

Let us suppose that  $\text{co}(x, G \setminus \omega[1, \dots, \underline{i}])$  is a rampart but not a tower.

Three cases are possible:

*Case 1:*  $\omega(\underline{i}+1) \notin \text{co}(x, G \setminus \omega[1, \dots, \underline{i}])$ ; then we have that  $\text{co}(x, G \setminus \omega[1, \dots, \underline{i}]) = \text{co}(x, G \setminus \omega[1, \dots, \underline{i}+1])$ .

*Case 2:*  $\omega(\underline{i}+1)$  is an isthmus of  $\text{co}(x, G \setminus \omega[1, \dots, \underline{i}])$ . In that case,  $\text{co}(x, G \setminus \omega[1, \dots, \underline{i}])$  is divided into two components, at least one being a rampart by the rampart lemma.

*Case 3:*  $\omega(\underline{i}+1)$  is a cycle of  $\text{co}(x, G \setminus \omega[1, \dots, \underline{i}])$ . In that case, a new loop on  $\text{co}(x, G \setminus \omega[1, \dots, \underline{i}])$  is created and  $\delta(\text{co}(x, G \setminus \omega[1, \dots, \underline{i}+1])) = \delta(\text{co}(x, G \setminus \omega[1, \dots, \underline{i}])) + 1$ ;  $\text{co}(x, G \setminus \omega[1, \dots, \underline{i}+1])$  is a rampart and may be a tower.

In case 1, the induction hypothesis is still true at stage  $i+1$ .

In case 2, the induction hypothesis is verified at stage  $i+1$  if we replace  $x$  by one of the rampart's vertices.

In case 3, if a tower is not obtained, the induction hypothesis is still true.

So, a tower of  $\omega$  will be obtained.

(ii) *This tower is a keep.* Let  $\underline{i}$  be its index and  $\underline{d} \subset \omega[1, \dots, \underline{i}]$ . For any extension  $\omega'$  of  $\underline{d}$ , let  $k$  be the least integer such that  $\omega'[1, \dots, k] \supset \omega[1, \dots, \underline{i}-1]$ . Only two cases are possible:

*Case 1:* The component  $\text{co}(x, G \setminus \underline{d})$  contains a tower of  $\omega'$  with index  $< k$ .

*Case 2:* The component  $\text{co}(x, G \setminus \omega[1, \dots, \underline{i}-1])$  being a rampart, one of its components in  $\text{co}(G \setminus \omega'[1, \dots, k])$  is also a rampart by the rampart lemma.

In both cases,  $\text{co}(x, G \setminus \underline{d})$  contains a tower of  $\omega'$ . So,  $\text{co}(x, G \setminus \underline{d})$  is a rampart and  $\text{co}(x, G \setminus \underline{c})$  is a keep.  $\square$

## 2.5. Simple clauses

In that part,  $G(X, U, \sigma)$  is an odd connected alternated graph on a set  $\underline{B}$  of booleans.

Let  $c$  be a clause. An odd connected component of  $G \setminus c$  is called a *territory* of  $c$ .

**Remark.** If  $c \in G(x)$ , then  $(\{x\}, \emptyset)$  is a territory of  $c$  but this territory may not be the only one.

If  $G$  is given by Fig. 5, then the clause  $\{1, 2', 3, 4'\}$  belongs to  $G(x)$  and admits three territories.

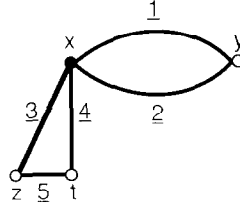


Fig. 5.

A clause  $c$  which admits only one territory is said to be *simple*. Its only territory is denoted by  $\text{ter}(c)$ .

**Remark.** Every subclause of a simple clause is simple.

**Theorem 2.4** (Inclusion theorem). *Let  $x$  be a vertex and  $\tau_x(G)$  be the graph obtained from  $G$  by reversing  $\sigma(x)$ .*

(a) *A valuation  $v$  belongs to  $\text{sol}(C(\tau_x(G)))$  if and only if  $v'$  is a simple clause with territory  $(\{x\}, 0)$ .*

(b) *If  $c$  is a simple clause and if  $x \in \text{ter}(c)$ , then  $c'$  is included in  $2^{v(G)-1}$  solutions of  $C(\tau_x(G))$ .*

(c) *The subset of simple clauses of  $C(G)$  is the only minimal contradictory subformula.*

(d)  *$\forall x \in X$ ,  $G(x)$  contains exactly  $2^{b(x)}$  simple clauses.*

The proofs may be found in [5].

### 3. Resolution of $C(G)$

#### 3.1. Climbing procedure

Let  $G$  be an odd connected alternated graph on  $\underline{B}$ , let  $c$  be a simple clause whose territory  $\text{ter}(c)$  is a rampart, and  $(R, E, \varphi)$  be a resolution of  $C(G)$  proving  $c$  specified as follows:

$R = \{1, \dots, n\}$  and  $\text{ra} = 1$  is the root.

$\forall r \in R$ ,  $\text{lsr}[r]$  is the left son of  $r$  if it exists and 0 otherwise.

$\text{rsr}[r]$  is the right son of  $r$  if it exists and 0 otherwise.

$\varphi[r]$  is the clause contained in  $r$ .

$\text{li}[r]$  is the only literal of  $\varphi[\text{rsr}[r]] \cdot \varphi[r]$  if  $r$  is not a leaf, and 0 otherwise.

By convention on  $\text{rsr}$ ,  $\text{li}[r]$  is a positive literal.

**Results.** A set  $V$  of booleans (edges) and a set  $\text{SC}$  of simple clauses.

*Recursive procedure* Treat( $s, d$ )



```

Treat( $s, d$ );
 $b := \text{li}[\psi[s]]$ ;
if  $\text{cond} = \text{false}$ 
  then
    {  $V := V \cup [b]$ ;
      if a vertex  $\bar{x}$  of  $G/V$ , contained in  $\text{ter}(c)$ , is a keep
        then  $\text{cond} := \text{true}$ ;
    };
  else if  $b \notin V$  then  $b := 0$ ;
while ( $b \in d$ ) do
  { if  $b \in d$  then  $\psi[s] := \text{lsr}[\psi[s]]$  else  $\psi[s] := \text{rsr}[\psi[s]]$ ;
     $b := \text{li}[\psi[s]]$ ;
  };
if  $b \neq 0$ 
  then
    {  $e := e + 1$ ; /* create the left son of  $s$  */
       $\beta[e] := b$ ;  $\text{lss}[s] := e$ ;  $\text{pred}[e] := s$ ;  $\psi[e] := \text{lsr}[\psi[s]]$ ; Treat( $e, d \cup \{b\}$ );
       $e := e + 1$ ; /* create the right son of  $s$  */
       $\beta[e] := b'$ ;  $\text{rss}[s] := e$ ;  $\text{pred}[e] := s$ ;  $\psi[e] := \text{rsr}[\psi[s]]$ ; Treat( $e, d \cup \{b'\}$ );
    };
  else
    if ( $\text{cond} = \text{true}$  and  $\varphi(\psi(s))$  is simple and  $x \in \text{ter}(\varphi[\psi[s]])$ ) then
       $\text{SC} := \text{SC} \cup [\varphi[\psi[s]]]$ ;

```

Climb is defined as

$$\{\psi[1] := 1; e := 1; \beta[1] := 0; V := \underline{c}; \text{cond} := \text{false}; \text{SC} := \emptyset; \text{Treat}(1, c')\}.$$

**Lemma 3.1** (Iteration lemma). *The set SC given by Climb is a nonempty set of simple clauses whose territories are ramparts.*

**Proof.** Let  $\Sigma = (S, A, \alpha)$  be the skeleton of  $(R, E, \varphi)$ . By the inclusion theorem and the autumn lemma, we prove that  $\varphi(R)$  must contain at least one clause in  $\{G(x), x \in \text{ter}(c)\}$  and, hence, that  $\alpha(A)$  contains every literal whose support is an edge in  $\text{ter}(c)$ .

As  $\text{ter}(c)$  is a rampart and  $V$  increases edge by edge, by the keep theorem, at a stage of the construction,  $V$  is such that there exists a vertex  $\bar{x}$  of  $G/V$ , contained in  $\text{ter}(c)$ , which is a keep.

Let  $\Gamma$  be a resolution guide with goal  $c'$  on  $V$  and  $\Phi$  be the set of leaves in the binary tree constructed by Climb. By the autumn lemma, every leaf of  $\Gamma$  is eliminated by a clause in  $\varphi(\psi(\Phi))$ .

Let DL be the set of leaves  $l$  in  $\Gamma$ , for which  $c(l)$  is simple and  $\text{ter}(c(l)) = \bar{x}$ . As a subclause of a simple clause is simple,  $\forall l \in \text{DL}$ ,  $l$  is eliminated by a simple clause whose territory, containing  $\bar{x}$ , is a rampart.

### 3.2. The fall-of-the-keep algorithm

**Data** An odd connected and alternated graph on  $\underline{B}$  and a resolution  $(R, E, \varphi)$  of  $C(G)$  proving  $\wedge$  (which is a simple clause whose territory  $G$  is a rampart).

**Algorithm**

```
{FC:=∅; Applied Climb to  $(R, E, \varphi)$ ; FC:=SC;
  While ( $\exists c \in FC$  and  $\text{ter}(c)$  is not a keep) do
    {applied Climb to the subtree of  $(R, E, \varphi)$  proving  $c$ ; FC:=(FC\{c\})∪SC;
    };
  }.
```

**Lemma 3.2** (Counting lemma). *The fall-of-the-keep algorithm stops and  $\sum_{c \in FC} 2^{v(G) - v(c)} \geq 1$ .*

**Proof.** Every clause  $c \in FC$  is simple by construction and  $\text{ter}(c)$  containing a keep  $\bar{x}$  is a rampart. If  $\text{ter}(c) \neq \bar{x}$ , then it contains more than one vertex of  $G$  and so  $c \notin C(G)$ . So  $c$  is the root of a subresolution of  $(R, E, \varphi)$  and we can apply Climb to this data;  $(R, E, \varphi)$  being finite, the process will stop because it works from the root to the leaves.

We construct a resolution guide  $\Gamma$  associated with the fall-of-the-keep algorithm in the following way:

At the beginning,  $\Gamma$  is reduced to a single distinguished leaf of weight 1.

At the current stage, let us suppose that a distinguished leaf  $l$  is eliminated by a clause  $c$  in SC. If Climb is applied to this clause  $c$ , then a subtree of root  $l$  is added to  $\Gamma$  and the weight of  $l$  is divided into the new distinguished leaves.

Let  $c$  be a clause. We denote by  $v(c)$  the number  $v(G) - v(G \setminus c)$  and prove that the total weight eliminated by  $c$  in  $\Gamma$  is less than  $2^{-v(c)}$ .

The weight eliminated by  $\wedge$  is 1.

Let  $\bar{b}$  be a boolean not belonging to  $\underline{c}$  and associated with a cycle edge of  $G \setminus c$ . Extending  $\Gamma$ , if necessary, we can suppose that, for every distinguished leaf  $l$ ,  $\underline{c}(l) \supset \underline{c} \cup \bar{b}$ . Then, by the inclusion theorem, the total weight eliminated by  $c \cup \{b\}$  (or  $c \cup \bar{b}'$ ) is exactly half of the total weight eliminated by  $c$ . This weight is reduced if, in fact,  $\underline{c} \cup \bar{b} \not\supset \underline{c}(l)$  for some distinguished leaves  $l$  of  $\Gamma$ .

So, by induction, the weight eliminated by  $c$  is less than  $2^{-v(c)}$ .

As all the leaves in  $\Gamma$  are eliminated, we conclude that  $\sum_{c \in FC} 2^{-v(c)} \geq 1$ .

**Theorem 3.3** (Fundamental theorem). *Let  $G$  be an odd connected alternated graph on  $\underline{B}$ . If  $(R, E, \varphi)$  is a resolution of  $C(G)$  proving  $\wedge$ , then  $|\varphi(R)| \geq 2^{\gamma(G)}$ .*

**Proof.** The fall-of-the-keep algorithm gives us a set FC of simple clauses belonging to  $\varphi(R)$ . For all  $c \in FC$ ,  $\text{ter}(c)$  is a keep and, consequently,  $v(G) - v(G \setminus c) \geq \gamma(G)$ .

By the counting lemma,  $\sum_{c \in FC} 2^{v(G) - v(c)} \geq 1$ . So,  $|\varphi(R)| \geq |FC| \geq 2^{\gamma(G)}$ .

**Corollary 3.4.** *Let  $G$  be an odd alternated graph. An optimal resolution of  $C(G)$  is obtained by the Davis–Putnam procedure applied with an optimal order on the booleans.*

### 3.3. The Margulis graphs

Margulis [8] defines the following family of graphs:

$\forall n \in \mathbb{N}^*$ , let  $X'_n$  and  $X''_n$  be disjoint sets in one-to-one mapping with  $\mathbb{Z}/n\mathbb{Z} \times \mathbb{Z}/n\mathbb{Z}$  and let  $X_n = X'_n \cup X''_n$ . Let  $U_n$  be obtained by joining each element  $(x, y)$  in  $X'_n$  to the following elements in  $X''_n$ :  $(x, y)$ ,  $(x+1, y)$ ,  $(x, y+1)$ ,  $(x, x+y)$  and  $(-y, x)$ .

$(X_n, U_n)$  is called the *Margulis graph* with index  $n$  and is denoted by  $\text{Mar}_n$ . Galil [6] proves the following result (The Galil–Margulis theorem):

*There exists a real  $k > 0$  such that,  $\forall n \in \mathbb{N}^*$ ,  $\gamma(\text{Mar}_n) \geq kn^2$ .*

We conclude: *For infinitely many  $n \geq 1$ , there are unsatisfiable formulas  $G_n$ , over  $O(n)$  variables which contain  $O(n)$  clauses, such that every resolution of  $G_n$  contains at least  $2^n$  distinct clauses.*

## 4. Conclusion

Resolution, whose complexity is exponentially growing, is intractable on the formulas defined with the Margulis graphs.

Our result generalizes, by applying to any graph, the result obtained by Urquhart [11]. So, we obtain not only an exponentially growing lower bound but a counting method for the number of distinct clauses and we can conclude that the most efficient resolution of a Tseitin formula is given by the Davis–Putnam procedure [3] with an optimal order on the booleans.

## Acknowledgment

I thank Professor J.C. Spehner for many helpful discussions and suggestions during this work.

## References

- [1] C. Berge, *Theorie des Graphes et ses Applications* (Dunod, Paris, 1958).
- [2] V. Chvatal and E. Szemerédi, Many hard examples for resolution, *J. ACM* **35** (4) (1988) 759–768.
- [3] M. Davis and H. Putnam, A computing procedure for quantification theory, *J. ACM* **1** (1960) 201–215.
- [4] J.D. Fouks, Sur la résolution du problème de satisfiabilité, Doctoral Thesis n°175, UHA, 68093 Mulhouse Cedex, France, 1991.

- [5] J.D. Fouks and J.C. Spehner, Meta-resolution, an algorithmic formalisation, Publ. n°54, UHA, 68093 Mulhouse Cedex, France, 1991.
- [6] Z. Galil, On the complexity of resolution and the Davis–Putnam procedure, *Theoret. Comput. Sci.* **4** (1977) 23–46.
- [7] A. Haken, The intractability of resolution, *Theoret. Comput. Sci.* **39** (1985) 297–308.
- [8] G.A. Margulis, Explicit constructor of concentrators, *Problems of information transmission* **9** (1973) 325–332.
- [9] J.A. Robinson, A machine-oriented logic based on the resolution principle, *J. ACM* **12** (1965) 23–41.
- [10] G.S. Tseitin, On the complexity of derivations in the propositional calculus, in: A.O. Slisenko, ed., *Structures in Constructive Mathematics and Mathematical Logic, Part II* (Consultants Bureau, New York, 1970) 115–125.
- [11] A. Urquhart, Hard examples for resolution, *J. ACM* **34** (1) (1987) 209–219.